Import Documentary Collection Booking - Islamic User Guide Oracle Banking Trade Finance Process Management Release 14.7.4.0.0

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Oracle Banking Trade Finance Process Management - Import Documentary Collection Booking - Islamic User Guide Oracle Financial Services Software Limited

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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Import Documentary Collection Booking - Islamic process in Oracle Banking Trade Finance Process Management.

1.2 <u>Audience</u>

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 <u>Related Documents</u>

- Getting Started User Guide
- Common Core User Guide

1.6 **Diversity and Inclusion**

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry



standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 <u>Conventions</u>

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements asso- ciated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder varia- bles for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 <u>Screenshot Disclaimer</u>

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

lcons	Function
×	Exit
+	Add row
—	Delete row
Q	Option List



2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 <u>Overview</u>

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



3. Import Documentary Collection Booking - Islamic

This process handles the Islamic import document under collection. The : Under Import Documentary Collection, the Drawer after exporting the goods submits the documents to the Remitting bank. The Remitting bank forwards the Documents to the Collecting bank for Acceptance/Payment by the Drawee.

The various stages involved for Import Doc Collection Booking are:

- Receive and verify documents
- Input Import Collection details
- Upload of related mandatory and non-mandatory documents.
- Input Additional details of Import Collection Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import Collection Booking process flow is similar to that of conventional Import Collection Booking process flow.

This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.2 Registration	3.4 Exceptions
3.5 Multi Level Approval	3.6 Reject Approval

3.1 <u>Common Initiation Stage</u>

The user can initiate the new Islamic import documentary collection booking request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

ORACLE	Initiate Task		(DEFAULTENTITY)	Oracle Banking Trade Finan May 5, 2021	A	ZARTAE subham@gmail.c
nine Learning 🛛 🖻						
ntenance 🕨	Registration					
estration Hub	Process Name	Branch *				
irity Management 🔹 🕨	Islamic Import Documentary Col 🔻	PK2-Oracle Banking Trade Finan 🔻				
Management 🕨						
s >					Р	roceed Clear
e Finance 🛛 🔻						
dministration 🕨						
ank Guarantee Advise 🕨						
ank Guarantee 🛛 🖡						
ommon Group Message						
nquiry						
<pre>cport - Documentary pllection</pre>						
cport - Documentary redit						
nport - Documentary ollection						
nport - Documentary 🖡 redit						

Provide the details based on the description in the following table:

1

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 <u>Registration</u>

In the Registration stage, user can register request for an Islamic Import Collection Booking at the front desk. User can capture the basic details of the Collection and upload related documents. On submit of the request, the request should be available for Collection expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

ᅎ FuTura Bank						
Sign In						
User Name *						
SRIDHAR						
Password *						
Sign In						
Cancel						

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

Core Maintenance	•	Draft Confirmation P	ending	×	Hand-off Failure		o ×	Priority Details		Ø X
Dashboard										
Maintenance		Customer Name	Application Date	٩	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name
iasks		EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo
rade Finance		NA	25-06-2018	G				Bank Futura	NA	Amount Blo
		NA	21-06-2018	G						
								004	NA	Loan Applic
			-						-	
		High Value Transaction	ons	o ×	SLA Breach Deta	nils	o ×	Priority Summa	ry Cucumber Te	* © ×
		140K			Customer Name	SLA Breached	t(mins) Prior	Branch F	rocess Name	Stage Name
		100K			NA	23474 H	KEERTIV01			
		60K		G8P	HSBC BANK	26667 M	SHUBHAM	203 0	Sucumber Testing	test descrip
			ICCCO.		WALL MART	23495	SHUBHAM			
		-20K	6 8 10 12		EMR & CO	26780 M	GOPINATH01			
			<u>-</u>			_			_	
		Hold Transactions		o x	SLA Status		×, ©	Tasks Detailed	Cucumber Testing	. 0 ×

3. Click Trade Finance - Islamic > Import Documentary Collection> Import Documentary Collection Booking - Islamic.

	E,	Dashboard	(DEFAULTENTITY)	Oracle Banking Trade Finan 🌲 May 5, 2021	
Menu Item Search	0,				
Core Maintenance					
Dashboard					
Machine Learning					
Maintenance					
Orchestration Hub					
Security Management					
Task Management					
Tasks					
Trade Finance					
Trade Finance - Islamic					
Bank Guarantee Advise					
Bank Guarantee Issuance					
Export - Documentary Collection					
Export - Documentary Credit					
Import - Documentary Collection					
Import Documentary Collection Booking - Islamic	y -				
Import Documentary	y				

The registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

		g 3, 2023 subham@gm
	Doct	iments Remarks Customer Instruction
*	Dranch	Delastr
0170 ABB India Limite 🚺	000-FLEXCUBE UNIVERSAL BANK	Priority Medium
oking Date *	Remitting Bank/Remitter *	Remitting Bank/Remitter Reference *
ig 3, 2023	091863 Q BANKMJ63 (1	5656565
nitting Bank Date/Remitting Date *	Bill Amount *	
ig 3, 2023	AED - AED 1,000.00	
or Type	Product Code *	Product Description
ance 🔻	IMMA Q	ISLAMIC MIGRATED MURABAHA ACCE
ge *	Contract Reference Number	Amount In Local Currency
NAL 👻	000IMMA232150001	GBP 💌 £5,001.00
wer *	Limit verification required	Avalization/Co-Acceptance Requested
1844 🔍 BANKMJ44 💽		\bigcirc
	king Date * g 3, 2023 g 3, 2023 or Type ance y ALL v wer *	wee Branch 0170 ABB India Limite 000-FLEXCUBE UNIVERSAL BANK kking Date g 3, 2023 Bill Amount g 3, 2023 Product Code IMMA AED AED 1,000.00 Product Code IMMA Q Contract Reference Number VAL 000IMMA232150001 Limit verification required

Provide the Application Details based on the description in the following table:



Field	Description	Sample Values
Received from Remitting Bank	Toggle on: Set the toggle on, if documents are received from Remitting Bank. Applicable fields will be displayed to capture the Remitting Bank details.	
	Toggle off: Set the toggle off, if the documents are received from drawer. Applicable fields will be displayed to capture the Drawee information.	
Drawee	Provide the Drawee ID and Drawee Name. Alter- natively, user can search the Drawee ID using LOV.	EMR & CO
	Note	
	If the selected drawee/ party is blacklisted the system displays a warning message.	
Branch	Read only field. Branch details will be defaulted based on the selected Drawee from the LOV.	203-Bank Futura -Branch FZ1
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.	High
Submission Mode	Select the submission mode of Import Collection - Booking request. By default the submission mode will have the value as 'Desk'.	Desk
	Desk- Request at the Desk	
	Courier- Request received through Courier	
Booking Date	By default, the application will display branch's current date.	04/13/2018

Field	Description	Sample Values
Remitting Bank/ Remitter	Select the Remitting Bank.	
	Click the look up icon to search the Remitting bank based on Party ID/Party Name. You can also input the party ID and on tab out system will validate and populate the 'Remitting Bank' name.	
	Note	
	In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available, Only MT999 messages can be sent to the bank".	
	Note	
	If the selected remitting bank is blacklisted the system displays a warning message.	
	Note	
	If the Remitting Bank details and the Remitting Bank Reference is already available in another Collection Booking, system should display a configurable override message, "This is a Possible Duplicate Document under an existing Documentary Collection" COLREF".	
	Note	
	If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."	
Remitting Bank/ Remitter Reference	User can update the Remitting Bank reference number, if available.	
Process Reference Num- ber	Unique OBTFPM task reference number for the transaction.	
	This is auto generated by the system based on process name and branch code.	
Remitting Bank Date/ Remitting Date	Provide the Remitting Bank message date.	
Bill Amount	Select the bill currency and specify the bill amount.	



3.2.2 <u>Collection Details</u>

Registration user can provide collection details in this section. Alternately, collection details can be provided by Scrutiny user.

ollection Details					
uments Received		Tenor Type	Product Code *	Product Description	
ond	-	Usance 🔻	IMMA Q	ISLAMIC MIGRATED MURABAHA ACCEI	
ration Type *		Stage *	Contract Reference Number	Amount In Local Currency	
	-	FINAL 🔻	000IMMA232150001	GBP 🔻 £5,001.00	
nce Amount		Drawer *	Limit verification required	Avalization/Co-Acceptance Requested	
) v		091844 🔍 BANKMJ44 💽			

Field	Description	Sample Values
Document Received	Enables to user to select the number of sets of documents received from the LOV:	
	First	
	Second	
	Both	
Tenor Type	Select the Tenor Type from the LOV:	
	Sight	
	Usance	
	Multi Tenor	
Product Code	Select the product code for the collection.	
Product Description	Read only field.	
	This field displays the description of the product as per the product code.	

Provide the Collection Details based on the description in the following table:



Hold Cancel Save & Close Subm

Field	Description	Sample Values
Operation Type	Operation type will be auto-populated from the collection booking. User can update the operation code, if required.	
	User can book a documentary collection using the Operation Type as FOP , to indicate if the documents are to be delivered Free of Payment to the Drawee by the Collecting Bank.	
	Note	
	User cannot change the operation type, If the operation code is set as ADV or COL or DIS in collection Booking.	
	Note	
	User can change the operation type to ADV or DIS, if the operation code is set as ACC in collection booking.	
Stage	System displays the stage of the transaction. User can change the value from initial to final.	
	Note	
	User cannot change the stage value from Final to initial.	
	Note	
	For Operation Type , FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.	
Contract Reference Num- ber	On selection of the Product code, the contract ref- erence number gets simulated from the back office and is displayed.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Finance Amount	Read only field.	
	Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
	This is an input field for Operation Type , DIS / PUR.	



Field	Description	Sample Values
Drawer	Select the drawer ID and Drawer Name from the LOV.	
	Note	
	If the selected drawee/ party is blacklisted the system displays a warning message.	
Limit verification required	Toggle On- Enables for limit verification.	
	If the toggle is disabled and Finance amount has been provided, the system prompts an override message on Submit.	
Avalization/Co-Accep- tance Requested	Option for the user to select if the bill is to be avalized.	
	User can enable this option either during the Bill Booking or Amendment. During bill booking, the option can be enabled both INTIAL/FINAL stage and Operation is COL/ACC /DIS	
	During the Amendment, stage should be Final.	
	By default this option is disabled.	
	Once the option is enabled, it can not be unchecked during any further actions or opera- tions.	
	Note	
	Option is not applicable for Sight bills, Multi-tenor and Bills Under LC.	

3.2.3 <u>Miscellaneous</u>

ORACLE [®]				(ENTITY_ID1)	FLEXCUBE UNIVERSAL BAN Aug 3, 2023	C subham@g
port Documentary Collec	tion Booking	g - Islamic			Documents Remarks 0	Customer Instruction
pplication Details						
eived From Remitting Bank		Drawee *	_	Branch	Priority	
		100170 ABB II	ndia Limite 🚺	000-FLEXCUBE UNIVERSAL BANK	Medium	.
mission Mode		Booking Date *		Remitting Bank/Remitter *	Remitting Bank/Remitter	Reference *
ik	•	Aug 3, 2023		091863 Q BANKMJ63	5656565	
ess Reference Number		Remitting Bank Date/Ren	nitting Date *	Bill Amount *		
IIDB000093377		Aug 3, 2023		AED - AED 1,000.00		
ollection Details						
uments Received		Tenor Type		Product Code *	Product Description	
ond	•	Usance	w.	IMMA Q	ISLAMIC MIGRATED MU	JRABAHA ACCE
ration Type *		Stage *		Contract Reference Number	Amount In Local Current	-y
	-	FINAL	×	000IMMA232150001	GBP 💌	£5,001.00
nce Amount		Drawer *		Limit verification required	Avalization/Co-Acceptar	ice Requested
		091844 Q BA	NKMJ44 🕒		\bigcirc	

Hold Cancel Save & Close Subm

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Action Buttons		<u>.</u>
Submit	On submit, task will move to next logical stage of	

Submit	On submit, task will move to next logical stage of Import Documentary Collection Booking.	
	If mandatory fields have not been captured, sys- tem will display an error message until the man- datory fields data are provided.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending infor- mation yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will dis- play an error on submit.	

3.3 Data Enrichment

As part of Data Enrichment, user can enter/update details of the Islamic Import Documentary Collection Booking request, check documents and can verify if the request can be progressed further.

Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

ᅎ FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

Core Maintenance	* (Draft Co	onfirmat	ion Pe	nding		Φ	×	Hand-off Failure		0	×	Priority Details		Ø X
Dashboard															
Maintenance		Custo	mer Nan	10	Аррік	cation Dat	e	۰ ۲	Branch	Process Nam	e Stage Na	ne	Branch	Process Name	Stage Nam
Tasks	•	EMR.8	k CO		25-06	-2018		G	Bank Futura	NA	Retry Har	dOf	Bank Futura	NA	Amount B
Trade Finance	•	NA			25-06	-2018		G					Bank Futura	NA	Amount B
		NA			21-06	-2018		G					004	NA	Loan Appl
										_			004	-	coan Appi
	i i i	High Va	ilue Tran	isactio	ns.		0	×	SLA Breach Deta	ils	٥	×	Priority Summa	V Cucumber Te	, ¢ >
		140K	11						Customer Name	SLA Breac	hed(mins) Pri		Branch P	rocess Name	Stage Nar
		100K	\mathcal{U}				• 0		NA	23474 H	KEERTIV01	1	203 C	ucumber Testing	test descr
		20K		-			• •	IOP.	HSBC BANK	26667 N	SHUBHAM			acamet resony	VEN DEPU
		-20K		C	000	•			WALL MART	23495	SHUBHAM				
		-2	0 2	4	6 8	10 12			EMR & CO	26780 N	GOPINATHO				
					-							-			
		Hold Tra	ansactio	ns			0	×	SLA Status	Cucumber T	esting	×	Tasks Detailed	Cucumber Testing	, o >

	э.	0		313-	TIEE Tasks.					
= ORACL	-e,	Free	e Tasks				1 (DEFAU	TENTITY) Oracle Bankii May 5, 2021	ng Trade Finan	subhar
enu Item Search	Q		C Refresh		Flow Diagram					
ore Maintenance		-	C Nellesi	 Acquire 	Y: Plow Diagram					
Dashboard			Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
lachine Learning			Acquire & E	Medium	Islamic Import Documentary Collection	PK1IIDB000071171	PK1IIDB000071171	DataEnrichment	22-03-08	PK2
lachine Learning			Acquire & E	High	Shipping Guarantee Issuance	PK2SGTI000071169	PK2SGTI000071169	Registration	22-03-08	PK2
laintenance		0	Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071159	PK2SGTI000071159	DataEnrichment	22-03-08	PK2
rchestration Hub	•		Acquire & E	Medium	Export Documentary Collection Booking	PK2EDCB000071153	PK2EDCB000071153	DataEnrichment	22-03-08	PK2
		0	Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071145	PK2SGTI000071145	DataEnrichment	22-03-07	PK2
ecurity Management			Acquire & E	Medium	Guarantee Cancellation	PK2GTEC000071143	PK2GTEC000071143	DataEnrichment	22-03-07	PK2
sk Management			Acquire & E	Medium	Guarantee Cancellation	PK2GTEC000071137	PK2GTEC000071137	DataEnrichment	22-03-07	PK2
			Acquire & E	Medium	Guarantee Advise Amendment	PK2GTAA000071128	PK2GTAA000071128	Registration	22-03-07	PK2
sks	•		Acquire & E	Medium	Islamic Export LC Closure	PK2IECL000070783	PK2IECL000070783	DataEnrichment	22-02-28	PK2
Awaiting Customer Clarification			Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071126	PK2SGTI000071126	DataEnrichment	22-03-07	PK2
Business Process		0	Acquire & E	High	Shipping Guarantee Issuance	PK2SGTI000071118	PK2SGTI000071118	DataEnrichment	22-03-07	PK2
Maintenance			Acquire & E	High	Import Documentary Collection Booking	PK2IDCB000071124	PK2IDCB000071124	Registration	22-03-07	PK2
Completed Tasks			Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071116	PK2SGTI000071116	DataEnrichment	22-03-07	PK2
			A	Medium	Income the trademost which and the state of the	BK2004000000000	DK2004000070000	A	22.02.02	DI/D

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

= ORACL	.e°	Free	e Tasks					TENTITY) Oracle Bankir May 5, 2021	g Trade Finan	ZAR subham@gn
Menu Item Search Core Maintenance	Q •		C Refresh	↔ Acquire	Flow Diagram					
Dashboard		-	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
Machine Learning			Acquire & E	Medium	Islamic Import Documentary Collection	PK1IIDB000071171	PK1IIDB000071171	DataEnrichment	22-03-08	PK2
vacnine ceaming			Acquire & E	High	Shipping Guarantee Issuance	PK2SGTI000071169	PK2SGTI000071169	Registration	22-03-08	PK2
vlaintenance		0	Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071159	PK2SGTI000071159	DataEnrichment	22-03-08	PK2
Orchestration Hub			Acquire & E	Medium	Export Documentary Collection Booking	PK2EDCB000071153	PK2EDCB000071153	DataEnrichment	22-03-08	PK2
			Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071145	PK2SGTI000071145	DataEnrichment	22-03-07	PK2
ecurity Management	•		Acquire & E	Medium	Guarantee Cancellation	PK2GTEC000071143	PK2GTEC000071143	DataEnrichment	22-03-07	PK2
ask Management			Acquire & E	Medium	Guarantee Cancellation	PK2GTEC000071137	PK2GTEC000071137	DataEnrichment	22-03-07	PK2
asks	•		Acquire & E	Medium	Guarantee Advise Amendment	PK2GTAA000071128	PK2GTAA000071128	Registration	22-03-07	PK2
		0	Acquire & E	Medium	Islamic Export LC Closure	PK2IECL000070783	PK2IECL000070783	DataEnrichment	22-02-28	PK2
Awaiting Customer Clarification			Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071126	PK2SGTI000071126	DataEnrichment	22-03-07	PK2
Business Process			Acquire & E	High	Shipping Guarantee Issuance	PK2SGTI000071118	PK2SGTI000071118	DataEnrichment	22-03-07	PK2
Maintenance			Acquire & E	High	Import Documentary Collection Booking	PK2IDCB000071124	PK2IDCB000071124	Registration	22-03-07	PK2
Completed Tasks			Acquire & E	Medium	Shipping Guarantee Issuance	PK2SGTI000071116	PK2SGTI000071116	DataEnrichment	22-03-07	PK2
Free Tasks				CALCULAR STR	l					
Hold Tasks		Pag	ge 1 of 13	35 (1-20)	of 2700 items) K < 1 2 3	4 5 135 > ×				



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

ORACL	E,	Free	Tasks						FLEXCUBE UNIVERSAL BAN Jan 1, 2014	su	SRIDHA ubham@gmail
nu Item Search	୍		C Refresh	- Acqu	ire 🔥 Delegate 🔮	Reassian 👯 Flow	Diagram				
re Maintenance		-				Process Reference					
shboard			Action	Priority	Process Name		Application Number	Stade	Application Date	Branch	Cus
intenance	•		Acquire & E	М	Import Documentary- B	000IDCB000030087	000IDCB000030087	DataEnrichment	1587020236841	000	000
curity Management			Acquire & Edit	М	Export LC Update Drawi	300ELCU000030043	300ELCU000030043	HandoffRetryTask	70-01-01	300	001
	-		Acquire & Edit		Export LC Cancellation	300ELCC000030083	300ELCC000030083	DataEnrichment	1587019969620	300	001
iks			Acquire & Edit	н	Export LC Advising	300ELCA000029957	300ELCA000029957	DataEnrichment	1586952466787	300	001
Completed Tasks			Acquire & Edit		Export LC Amendment	300ELCA000030067	300ELCA000030067	Scrutiny	1587018202990	300	001
Free Tasks			Acquire & Edit	М	Export LC Liquidation	300ELCL000030053	300ELCL000030053	HandoffRetryTask	70-01-01	300	001
Hold Tasks			Acquire & Edit		Import LC Drawing	300ILCD000030054	300ILCD000030054	Scrutiny	1587014251663	300	001
My Tasks			Acquire & Edit	М	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	000
Search			Acquire & Edit	М	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	000
Supervisor Tasks		-	Acquire 9. Edit		Import I C Amondmont	20011 0 40000 20020	20011 010000000000	Constinu	1007010011040	200	001
de Finance											
		Pag	e 1 of 37	(1 - 20 of	727 items) K < 1	1 2 3 4 5	37 > Ж				

The Data Enrichment stage has five sections as follows:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Registration may not be editable.

3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

3.3.1.1 Application Details

All fields displayed under Basic details section, would be read only except for the **Priority** and **'Bill Amount'** field. Refer to 3.2.1 Application Details for more information of the fields.

nrichment :: Applica	ary Collection Booking ation No:- 000IIDB000093377		Documents	Remarks	Overrides	Customer Instruction			-
in Details	Main Details								Screen (
cument Details	Application Details								
ner Details	Received From Remitting Bank		Drawee *			Branch		Priority	
pment Details			100170	ABB India Li	imite 🚺	000-FLEXCUBE UNIVE	RSAL BANK	Medium	Ψ.
turity Details	Submission Mode		Booking Date *			Remitting Bank/Remitt	er *	Remitting Bank/Remit	ter Reference *
	Desk	-	Aug 3, 2023		**	091863 BAN	NKMJ63	5656565	
ditional Fields	Process Reference Number		Remitting Bank	Date/Remitting	Date *	Bill Amount *			
vices	000IIDB000093377		Aug 3, 2023		**	AED 💌	AED 1,000.00		
ditional Details									
tlement Details	Collection Details								
nmary	Documents Received		Tenor Type *			Product Code *		Product Description	
	Second	•	Usance		~	IMMA	Q,	ISLAMIC MIGRATED I	MURABAHA ACCEI
	Operation Type *		Stage *			Contract Reference Nu	mber	Amount In Local Curre	ency
	ACC	-	FINAL		-	000IMMA232150001		GBP 💌	£5,001.00
	Finance Amount		Drawer *			Limit verification requi	red	Avalization/Co-Accept	tance Requested
	AED 👻		091844	Q BANKMJ	44 🚺				

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

3.3.1.2 Collection Details

The fields listed under this section are same as the fields listed under the 3.2.2 Collection Details section in 3.2 Registration. Refer to 3.2.2 Collection Details for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Note

In case field **Operation Type** has value **FOP** (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online).

Settlement Details	Collection Details												
Summary	Documents Received		Tenor Type *			Product Co	ode *			Product (Description		
	Second	Ψ.	Usance			IMMA			Q,	ISLAMIC	MIGRATED MUR	АВАНА АСС	E
	Operation Type *		Stage *			Contract R	eference Nu	mber		Amount	In Local Currency		
	ACC	v	FINAL		•	0001MMA	232150001			GBP	v	£5,001.00	
	Finance Amount		Drawer *			Limit verifi	ication requi	red		Avalizatio	on/Co-Acceptance	Requested	
	AED 💌		091844	Q BANKMJ44	i					\bigcirc			
udit					Request C	Clarification	Reject	Refer	Hold	Cancel	Save & Close	Back	Nex

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	Click the Request Clarification button to request for an Online clarification from cus- tomer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	



Field	Description	Sample Values
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending infor- mation yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Descrip- tion. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Document Details 3.3.2

This section enables the user to enter/ update Documents and conditions details for Islamic Import Collection Booking.

ORACLE				<u></u>	(DEFAULTENTITY)	FLEXCUBE UNIVERSA Aug 3, 2023	L BAN	ZARTAE subham@gmail.c
	ntary Collection Booking lication No:- 091IIDB000		Clarification Details	Documents Remarks	Overrides Custor	ner Instruction		***
Main Details Document Details	Document Detail							Screen (2 / 1
Other Details	- Documents D							
Shipment Details	Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
Maturity Details	CLAIMDOC	с	Claim Doc		1	1		1
Additional Fields								
Advices								
Additional Details								
Settlement Details								
Summary								
udit				Request Clarificat	tion Reject f	Refer Hold Can	cel Save & Close	Back Next

Capture the information based on the description in the following table:

Field	Description	Sample Values
Fleid	Description	Sample values
Click the '+' plus icon to ca	oture the Dcoument Details.	
Document Code	Click the search icon to select the document code. User can edit or delete the code by select-ing the line on the grid.	
Document Type	System will default the document type based on the product selected.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	Specify the document reference, if available. On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstand- ing Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	
Copies Received	User can edit the actual copies received.	
Originals Received	User can edit the actual originals received.	



Field	Description	Sample Values
Document Date	User can edit the date on which the document is received.	
	On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstand- ing Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	
Action	Click Edit icon to edit the document code/ document date.	
	Click Delete icon to delete the document code.	

3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	Click the Request Clarification button to request for an Online clarification from cus- tomer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.3 **Other Details**

Other Details enables the user to validate the Other details for Islamic Import Collection Booking.

							EFAULTENTITY)		le Banking Trade Finan. 24, 2021	.	ZAR subham@gr
	ntary Collection Booking ication No:- PK1IDCB000026321	Doc	uments Remarks	Override	s Custome	er Instruction					1
lain Details	Other Details										Screen (3
ocument Details	Shipping Guarantee Reference	ence									
ther Details											1
nipment Details	Shipping Guarantee Reference	Transp	oort Document Reference	ce	Transport Doc	ument Date	Amount			Action	
laturity Details	PK2SGLC211382007	BOL	3CD101		May 18, 2021	ti i				ß	
dditional Fields	TRESOLUEITISOLUUT				Ividy 10, 2021						_
dvices	Other Details										Screen (
dditional Details	Debit Value Date		Credit Value Date			Value Date		Sender	to Receiver Infor	mation-MT410	Screen (
ummary	May 24, 2021	**	May 24, 2021			May 24, 2021	<u>ش</u>				
	▲ Other Bank Charges										
	Other Bank Charges-1		Other Bank Charges-	-2		Other Bank Charges-3			Other Bank Charg	ge Description-1	
	USD 💌	\$120.00	USD 🔻		\$100.00	USD 🔻	\$20	0.00			
	Other Bank Charge Description-2		Other Bank Charge E	Description-3							
	 Other Bank Profit 										
			Start Date		曲						
	Other Bank Profit-1		Other Bank Prof	fit-2		Other Bank Profit	-3				
	Component PRO1		Component	PRO2		Component	PRO3				
	Component Description		Component Descript	tion		Component Description	on				
	Profit Rate	~ ^	Profit Rate		~ ^	Profit Rate		- ^			
	Profit Basis	•	Profit Basis		-	Profit Basis		*			
	Waive	*	Waive		*	Waive	×				
it						Request Clarification	Reject R	efer He	old Cancel	Save & Close	Back



Field	Description	Sample Values
Click '+' to add the multiple		
Shipping Guarantee Ref- erence	Shipping Guarantee Reference is auto populated from the linked Shipping Guarantee details in the Shipping Detail Grid, based on the Transport Document Reference and Date provided in Bill, which is linked with the Shipping Guarantee.	
	User can select the Shipping Guarantee from the lookup.	
Transport Document Reference	Transport Document Reference is auto populated from the underlying Shipping Guarantee details.	
	System validates the Shipping Guarantee Trans- port Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail.	
Transport Document Date	Transport Document Date is auto populated based on the date provided in Bill, which is linked with the Shipping Guarantee.	
Amount	Shipping Guarantee amount is displayed in this field.	
Action	Edit: Click edit to edit the shipping guarantee details.	
	Delete: Click edit to edit the shipping guarantee detail.	

3.3.4 Shipping Guarantee Reference

3.3.4.1 Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Sender to Receiver Infor- mation-MT410	Click the edit icon and specify the additional infor- mation in Line 1-6 and click Save & Close to save the changes.	
	The user can edit the details by clicking the edit icon.	

3.3.4.2 Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Description -1	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -2 to 3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	

3.3.4.3 Other Bank Profit

The user can enter the Profit details to be captured as a part of "Other Bank Profit" details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest.	
Other Bank Profit -1, 2 and	3	
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Profit Rate	Provide the rate to be applied for the interest component.	
Profit Basis	Select the calculation basis on which the Interest to be computed.	
Waive	Select whether the interest to be waived off. The options are: • Yes • No	



3.3.5 Shipment Details

This hop allows the user to enrich the Shipment request for Islamic Import Collection Booking.

ORACLE							FLEXCUBE UNIVERSAL Aug 3, 2023	BAN
	entary Collection Booking blication No:- 091IIDB000162876	5	Clarification Details Doo	cuments Remarks	Overrides Customer Instruction			
Main Details	Shipment Details							Screen (4
Document Details	A Shipment Details							
Other Details	Transshipment		Partial Shipments		Date of Shipment		Place of Tak	ing in Charge
Shipment Details	ALLOWED	•	ALLOWED	v	Aug 3, 2023		London	
Maturity Details	Port of Loading		Port of Discharge		Place of Final Delivery		Carrier Nam	e
Additional Fields	London		Mumbai		Mumbai		ABC Carrie	r
Advices	Shipping Agent Name		Shipping Agent Ado	iress				
Additional Details								
Settlement Details								
Summary	INCO Terms		INCO Terms Descrip	11				
Summary	DDU	Q,		oaid (named destina:				
	45A Description of Goods an	nd/or Services						
	Goods Code		Goods Type		Goods Description			Action
	UPLD_GOODS2			G	from good desc			
	Country of Origin		Insurance Company	Code	Insurance Company		Policy Numl	ber
			COMP1	Q	COMP1	C	2	
	▲ Multi-model/ Trans	sshipment det	ails					
	Carrier Name			Port				Action
	ABC Carrier							
udit					Request Clarification	Reject Refer	Hold Cance	el Save & Close Back N

3.3.5.1 Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Transshipment	Provide the transshipment details of goods (if	
	any) from the document received.	
	The values are:	
	Allowed	
	Not Allowed	
	Provide the partial shipment details of goods (if	
Partial Shipments	any) from the document received.	
	The values are:	
	Allowed	
	Not Allowed	
Date Of Shipment	Provide the date of shipment.	



Field	Description	Sample Values
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment as indi- cated on the transport document.	
	Provide the details of place of taking in charge.	
	Note	
	This field is alternate to Port Of Loadin g.	
Port Of Loading	This field specifies the port of discharge or airport of destination as indicated on the transport docu- ment.	
	Provide the details of Port/Airport of Loading.	
	Note	
	This field is alternate to Place Of Taking In Charge .	
Port Of Discharge	This field specifies the port of discharge or airport of destination as indicated on the transport docu- ment.	
	Provide the details of Port/Airport of Discharge.	
	Note	
	This field is alternate to Place Of Final Destination .	
Place Of Final Delivery	This field specifies the final destination or place of delivery as indicated on the transport document.	
	Provide the details of Place Of Final Destination.	
	Note	
	This field is alternate to Port Of Discharge .	
Carrier Name	Provide the name of the carrier through which the goods were shipped.	
Shipping Agent	Provide the shipping agent name as per the doc- ument received.	
Shipping Agent Address	Provide the shipping agent details, if more than one carrier is used for the shipment.	
INCO Terms	Select the appropriate INCO terms.	

Field	Description	Sample Values
INCO Terms Description	The description of the INCO Term.	

3.3.5.2 Description of Goods and/or Services

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
+ Icon	Click + icon to add goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated	
	depending on selected goods code.	
No of Units	Enter the number of units being imported or-	
	exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price.	
	In case of online request, the system should pop- ulate the total amount from incoming request.	
	System should validate that the total amount is equal to the value of the transaction (LC/Collec- tion).	
Edit icon	Click the Edit icon to edit the goods description.	
Action	Click the Edit icon to edit the goods code.	
	Click the Delete icon to delete the goods code.	
Country of Origin	Provide the country of origin details as per the documents received.	
Insurance Company Code	Select the Insurance Company Code from the LOV.	
Insurance Company	Read only field.	
	Insurance company details will be displayed as per the selected Insurance Company Code from the LOV.	
Policy Number	Provide the policy number.	

Multimodal/Transshipment Details 3.3.5.3

During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section.

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the docu- ments received, if the goods were shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	
Action	Click Edit icon to edit the Carrier name and port. Click Delete icon to delete the record.	

3.3.5.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	Click the Request Clarification button to request for an Online clarification from cus- tomer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	 R5 - Others. Select a Reject code and give a Reject Description. 	
	This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.6 **Maturity Details**

A DE user can enrich the maturity details for Islamic Import Collection Booking.

							1 (C	EFAULTENTITY)	May 2	e Banking Trade Finan. 🍂	í.	sub
Islamic Import Docume DataEnrichment :: App			026357	Documents	Remarks	Overrides	Customer Instruction					
Main Details	Maturi	ity Details										S
Document Details	⊿ Dra	ft Details										
Other Details												
Shipment Details	Draft	Code				Draft Amoun	t				Ac	ction
 Maturity Details 	Cost	of the shipm	ient						ρ	OA 120.0	ß	1
Additional Fields		-										
Advices	▲ Mat	urity and I	Multi Tenor Liqui	idation Details								
Additional Details												
Settlement Details				6. I.D.I				D'II 4				
Summary	S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amo	ount Exchange Rate	e Liquidatio	on Date
	2	FD	•	May 8, 2021		12	May 24, 2021					
	1	сс	F 4	May 1, 2021		10	May 24, 2021					
	Interest	From Date		Interest To I	Date	tata in the second s	Acceptance Commissi		1	Acceptance Commi	ission To Date	e
Audit							Request Clarification	Reject I	Refer Hold	Cancel Sa	ave & Close	Back

3.3.6.1 Draft Details

Update the maturity details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	



Field	Description	Sample Values
Action	Click Edit icon to edit the draft code.	
	Click Delete icon to delete the draft code.	

3.3.6.2 Maturity and Multi Tenor Liquidation Details

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Value
SNo.	Serial number of the tenor record.	
Tenor Basis	Provide the tenor basis.	
	This field is disabled if the Tenor Type is 'Both'.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis.	
	The user to can edit the tenor description.	
Start Date	Select the start date.	
Tenor Days	Provide the tenor basis.	
	This field is disabled if the Tenor Type is 'Both'.	
Transit Days	Provide the tenor basis.	
	This field is disabled if the Tenor Type is 'Both'.	
Maturity Date	Maturity date as Start date + Tenor Days or Tran- sit Days.	
	This field is disabled if the Tenor Type is 'Both'.	
	Note	
	In case if the Operation Type is FOP, Due date (Maturity date) is not applicable in this case and current branch date will be populated in the Maturity date field.	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidated amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Rebate Amount	Provide the value for bill rebate amount.	
Action	Click Edit icon to edit the tenor record.	
	Click Delete icon to delete the tenor record.	



Field	Description	Sample Values
Profit from Date	Select the profit from date. The profit from date cannot be earlier than branch date and later than maturity date.	
Profit to Date	Maturity date is auto-populated in this filed.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

3.3.6.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	The user can view and input/view application details simultaneously.	
	When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the applica- tion.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Request Clarification	Click the Request Clarification button to request for an Online clarification from cus- tomer. Clicking the button opens a detailed screen to capture the clarification details.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	 R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.7 Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Islamic Import Documen DataEnrichment :: Applic	ary Collection Booking ation No:- PK1IID8000071171	Documents	Remarks	Overrides	Customer Instruction	Common Group Messages
Main Details	Additional Fields					S
Document Details	Additional Fields					
(1) Other Details	No Additional fields configured!					
Shipment Details						
Maturity Details						
Additional Fields						
Advices	7					
Additional Details						
Settlement Details						
Summary						
Audit			Reje	ct Refer	Hold Cancel	Save & Close Back
Audit			кеје	ct Refer	Hoiti Cancel	Save & Close Back

3.3.8 Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

\equiv ORACLE				(DEFAULTENTITY)	Islamic Trade Branch (091) Aug 3, 2023
Islamic Import Documen DataEnrichment :: Applic	tary Collection Booking cation No:- 0911IDB000002583	Clarification Details Documents Remarks	Overrides Customer Instruction		
Main Details	Advices				
Document Details	Advice : DOC_ARVL_NOT	Advice : ACKNOWLEDGE	Advice : PAYMENT_MESS		
Other Details	Advice Name: DOC_ARVL_NOTICE	Advice Name: ACKNOWLEDGEMENT	Advice Name: PAYMENT_MESSAGE		
Shipment Details	Advice Party : DRAWEE Party Name : Etisalat	Advice Party : REMITTING BANK Party Name : AL HILAL BAN	Advice Party : Party Name :		
Maturity Details	Suppress : NO Advice	Suppress : NO Advice	Suppress : NO Advice		
Additional Fields	Advice	Aurice	Aurice		
Advices					
 Additional Details 					
Settlement Details					
Summary					
	8				
Audit				Request Clarification Reject	Refer Hold Cancel Save & Close B

User can suppress the advice if required.

3.3.9 Additional Details

As part of DE user can verify and enter the basic additional details available in the Islamic Import Collection Booking.

Details	Additional Details					Scree
ment Details	Limit & Collateral	:	Charge Details	Preview Message	Payment Details	
Details	Contribution Currency :		Charge :	Language :	Allow Rollover : No	
ent Details	Contribution Amount :		Commission : Tax :	Preview Message :-	Advance by Finance : Yes Liquidate using : No	
ty Details	Collateral Currency :		Block Status : Not Initiated		Collateral	
onal Fields	Collateral Contr. : Collateral Status :					
s						
onal Details	FX Linkage	:	Profit Details	Finance Preferences	Tracer Details	
ment Details ary	Reference Number : Currency : Contract Amount :		Component : Amount : Event :	Finance Product : LinkageRefNo : Finance Tennor Finance : Currency : Finance Amount : Finance Maturity Date :	Payment Tracer : No Acceptance Tracer : No Charges Details : No	

3.3.9.1 Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the



Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

it & Collate	eral									
imit Detai	ils									
ustomer ID	Linkage Ty	pe Liability Nur	nber Line Id/Linkage Ref	No Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Respons	e Response Mess	age
00172	Facility				100	AED	1000			
o data to disp Deposit Lir	nkage Deta	ails							-	
	Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Avail	able In Transaction Currency	Linkage Amount(Tra	ancaction Currence)	Edit	Delete
Deposit		Deposit currency		-	87508	is an intrisuction currency	Linkage Amount(m	£495.00	PK2CDP1221100002	
Deposit A PK2CDP1		GBP	2023-04-20	GBP	07500			2455.00	PK2CDP1221100002	
PK2CDP1			2023-04-20	GBP	07500			2455.00	PR2CDP1221100002	



Limit Details			×
Customer Id		Linkage Type *	
032204	Q,	Facility	-
Contribution % *		Liability Number *	
100.0	~ ^	032204	Q
Contribution Currency		Line Id/Linkage Ref No *	
AED		032204AED	9
Limit/Liability Currency		Limits Description	
AED			
Limit Check Response		Amount to Earmark *	
Available		AEC	0 110.00
Expiry Date		Limit Available Amount	
		4	ED 0.00
Response Message		ELCM Reference Number	
Balance available of AED	99994260148;		4
		Verify Save & Close	Close
Field	Description		Sample Valu
Plus Icon	Click plus icc	on to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".	

Field	Description	Sample Values
Contribution%	System will default this to 100%. User can mod- ify, if contribution is more than 100%. System will display an alert message, if modified.	
	Once contribution % is provided, system will default the amount.	
	System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percent- age is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up.	
	The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID- DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was suc- cessful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
	Note	
	User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.	
	This field is disabled and read only, if Linkage Type is Liability .	
Line Serial	Displays the serial of the various lines available and mapped under the customer id.	
	This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Avail- able' based on the limit service call response.	
Amount to Earmark	Amount to Earmark will default based on the contribution %.	
	User can change the value.	



Field	Description	Sample Values
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Con- tribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	



Collateral Details			>
Total Collateral Amount *	Collateral Amount to b	e Collected *	
\$67.00		\$0.00	
Sequence Number	Collateral Split % *		
2.0	100.0	~ ^	
Collateral Contrubution Amount *	Settlement Account *		
\$67.00	PK1000327018	Q	
Settlement Account Currency	Exchange Rate		
GBP	1.3	~ ^	
Contribution Amount in Account Currency	Account Available Amo	ount	
£0.00	£9	9,999,393,343.91	
Response	Response Message		
VS	The amount block car	be performed as	
Verify			
		✓ Save & Close	× Cancel

Field	Description	Sample Values
-------	-------------	---------------

Cash Collateral Details

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount pro- vided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If col- lateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	



Field	Description	Sample Values
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Cur- rency	Settlement Account Currency will be auto-popu- lated based on the Settlement Account selection.	
Exchange Rate	Read only field.	
	This field displays the exchange rate, if the settle- ment account currency is different from the collat- eral currency.	
Contribution Amount in	Read only field.	
Account Currency	This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.	
	Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Avail- able'.	
	System populates the response on clicking the Verify button.	
Response Message	Detailed Response message.	
	System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settle- ment Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the C	Cash Collateral Details grid along with the above fie	lds.
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
	System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % main- tained for the product.	
	User can modify the defaulted collateral percent-	

User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".



Field	Description	Sample Values
Contribution Amount	This field displays the collateral contribution amount.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If col- lateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Customer Id Deposit Account 091215 PK2 Deposit Branch PK2 Deposit Available Amount Deposit Maturity Date AED * AED 87,508.00 Deposit Available In Transaction Currency Linkage Percentage % * Linkage Amount(Transaction Currency) * 45.00 Y< AED * AED 450.00	Deposit Linkage Detail	s		×
Deposit Branch PK2 Deposit Available Amount AED AED 87,508.00 Exchange Rate Deposit Available In Transaction Currency Linkage Percentage % * Linkage Amount(Transaction Currency) *	Customer Id		Deposit Account	
PK2 Deposit Available Amount AED AED AED \$7,508.00 Exchange Rate Deposit Available In Transaction Currency	091215	0,	PK2CDP1221100002	0,
Deposit Available Amount AED AED 87,508.00 Exchange Rate Deposit Maturity Date Linkage Percentage % * Linkage Amount(Transaction Currency) *	Deposit Branch			
AED AED 87,508.00 Exchange Rate Deposit Available In Transaction Currency Linkage Percentage % * Linkage Amount(Transaction Currency) *	PK2			
Exchange Rate Deposit Available In Transaction Currency Linkage Percentage % * Linkage Amount(Transaction Currency) *	Deposit Available Amount		Deposit Maturity Date	
Linkage Percentage % * Linkage Amount(Transaction Currency) *	AED 💌 A	ED 87,508.00		
Linkage Percentage % * Linkage Amount(Transaction Currency) *	Exchange Rate		Deposit Available In Transac	tion Currency
			~	
45.00 × ^ AED - AED 450.00	Linkage Percentage % *		Linkage Amount(Transaction	n Currency) *
	45.00	~ ~	AED 👻	AED 450.00
			Save	& Close Close
Save & Close Close	Field	Descriptio	n	Sample

Click + plus icon to add new deposit details.

Field	Description	Sample Values			
Customer Id	Customer ID is defaulted from the system.				
	User can change the customer ID.				
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.				
Deposit Branch	Branch will be auto populated based on the Deposit account selection.				
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.				
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.				
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.				
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.				
Linkage Percentage%	Specify the value for linkage percentage.				
Linkage Amount (Trans- action Currency):	System to default the transaction amount user can change the value.				
	System validates the linking amount with availa- ble Deposit balance and should not allow to link more than the available amount.				
Below fields appear in the Deposit Details grid along with the above fields.					
Deposit Currency	The currency will get defaulted in this field.				
Transaction Currency	The currency will get defaulted in this field from the underlying task.				
Delete Icon	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.				

Edit Link

Click edit link to edit any existing deposit Details.



3.3.9.2 Commission, Charges and Taxes

This section displays commission, charges and taxes details:

arge Details											
Recalculate Re	default										
Commission De	tails										
ent											
ent Description											
Component	Rate	Mod. Rate	Currency	Amount	Modified	Defe	r Waive	Charge P	arty	Settlement Accou	Amendable
No data to display.											
Page 1 (0 of 0 i Charge Details Component	tems) K	< 1 > ×	g Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.			3	,			5				
	tems) K	< 1 > >									
Tax Details											
Component		Туре	Value	Date		Ссу	Amount	Bi	lling	Defer	Settl. Accnt
No data to display.											
											Save & Close Clos

Commission Details

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

	Sample Values
An amount that is maintained under the product code defaults in this field.	
The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is popu- lated in OBTFPM.	
If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Details of the Settlement Account.	
The value is auto-populated as the commission can be amended or not.	
	code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is popu- lated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field. From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. If check box is selected, charges/commissions has to be deferred and collected at any future step. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. Charge party will be 'Applicant' by Default. You can change the value to Beneficiary Details of the Settlement Account. The value is auto-populated as the commission

Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission compo- nent for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automati- cally checked in OBTFPM.	
	The user can not select/de-select the check box if it is de-selected by default.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission compo- nent for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be auto- matically checked in OBTFPM.	
	The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected.	
	Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
	This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	



Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Туре	Type of tax Component.	
Value Date	This field displays the value date of tax compo- nent.	
Currency	The tax currency is the same as the commission.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Amount	The tax amount defaults based on the percent- age of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

This section displays the tax details:



3.3.9.3 Payment Details

aymentDetails							
Liquidate	date Advance by Finance		Settle Available Amount A		Allow Rollover		
Change from Accept	ance to Advance	Liquidate using Collateral		Outstanding Collateral Amount	Split Settler	nent	
				AED V			
ettlement Detai	ls - Liquidation						
mponent	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	
data to display.							
e 1 (0 of 0 item	s) $\kappa < 1 > 3$						
olit Settlement							+
mponent		Contract Cur	rency		Amount		Ŧ
data to display.							
je 1 (0 of 0 item	s) K < 1 > X						
it Settlement D	etails					Fetch	Exchange Rate
quence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	+ Action
quence						onginal Exchange hate	
	100	100000426	100019	GBP	000		1
ge ¹ of 1 (1 of	1 items) $K \ll 1$	К					
						Save & Cl	ilose Close

Provide payment details based on the	e description in the following table:
--------------------------------------	---------------------------------------

Field	Description	Sample Values
Auto Liquidate	Toggle On: Set the toggle 'On' if the auto Liqui- dation is required.	
	Toggle Off: Set the toggle 'Off' if the auto Liqui- dation is not required.	
Advance by Finance	Read only field.	
	Advance by Finance enables creation of loan at the time of Final liquidation.	
Settle Available Amount	Enable this option, if during settlement, the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed.	
Allow Roll over	Enable the option to allow rollover.	
Auto Change from Accept- ance to Advance	This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.	
	Note	
	This option is applicable only for the bills that are co-accepted by the bank.	
Liquidate using Collateral	Enable the option to liquidate using Collateral.	



Field	Description	Sample Values
Outstanding Collateral	Read only field.	
Amount	Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Split Settlement	 Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill 	Disable
Settlement Details - Liquid	dation	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details Click "+" icon to add split s	settlement details.	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.	
Action	Click Edit icon to edit the split settlement details record.	
	Click Delete icon to delete the split settlement details record.	

3.3.9.4 FX Linkage

This section enables the user to link the existing FX contract(s) to the Liquidation. User can link one or more FX deals to a Bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage. •
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, • will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill. •
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount. •
- Linked amount will not be greater than the available amount for linkage. •
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated • amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not • utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from • each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.



Provide the FX linkage detail based on the description in the following:

inkage								
FX Linkage								_
X Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	+ Action
)32FXF2230890501	GBP	AED	AED 149,873,698.50	1.5	AED 27,000.00	AED 2,100.00	Dec 30, 2025	/ 団
age 1 of 1 (1 of 1 items) K < 1 > H erage FX Rate								

Save & Close	Cancel
--------------	--------

FX Reference N	Number *	Currency	
032FXF22308	90501 Q	AED	
Contract Amou	unt	Available FX Contract Amount	
AED 💌	AED 149,999,998.50	AED 💌 AED 149,873,6	98.50
Linkage Amou	int *	Rate	
AED 💌	AED 27,000.00	1.5	~ ~
FX Amount in	Local Currency	FX Expiry Date	
GBP 💌	£149,999,998.50	Dec 30, 2025	tata i
FX Delivery Per	riod From	FX Delivery Period To	
	iii		***

Provide the FX linkage detail based on the description in the following table:

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.



Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV.	
	On select and save and close, system defaults the available amount, bot currency, sold currency and rate.	
	Forward FX Linkage available for selection at bill would be as follows,	
	 Counterparty of the FX contract should be the counterparty of the Bill contract. 	
	 Active Forward FX transactions authorized not marked for auto liquidation. 	
	Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Currency	This field displays the FX SOLD currency from the linked FX contract.	
Contract Amount	This field displays the FX SOLD currency and Amount.	
	The user can change the currency.	
Available FX Contract Amount	This field displays the available FX contract amount.	
	The value is from the "Available Amount" in FXDLINKG screen in OBTR.	
	Available Amount SOLD currency and Amount is displayed.	
Linkage Amount	This field displays the amount available for link- age.	
	The Linkage amount should default the LC Con- tract Currency and allowed to change the linkage amount alone.	
	The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Cur- rency	This field displays the FX amount in local cur- rency.	
	The value is defaulted as FX BOT currency and Amount from FXDTRONL	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	





Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the con- tract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the F	X linkage grid along with the above fields.	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.	
	Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
	The value is Total Utilized Amount SOLD cur- rency and Amount for Import LC/Guarantee Issu- ance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

3.3.9.5 <u>Tracers</u>

This section enables the user to view the tracer maintained at Back Office. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acceptance Tracer and Payment Tracer are applicable for this process.

cer Details							
Payment Tracer							
cer Required		Number of Tracers		Tracer Frequency		Tracer Medium	
		6		Weekly	*	SWIFT	v
cer Receiver Party		Tracer Start Date					
ANSFEREE BANK	Q	Aug 3, 2023	***				

Field	Description	Sample Values
Tracer Required	Enable the option, if tracer is required.	
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Provide the frequency for generation of the tracer.	
Tracer Medium	Select the tracer medium from the LOV.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.	

3.3.9.6 Finance Preferences

This section enables the user to link a finance to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Finance Preferences screen is enabled if the underlying Import Drawing/Collection product allows Advance by Finance Option. The details can be captured in Import Collection/ Drawing Booking or Update or Liquidation processes and the loan gets created during Liquidation process.

ance Preferences				
Finance Preferences				
wing/Collection Ref	Bill Currency-Amount	Customer Id	Customer Nar	ne
iduct Code	Finance Currency-Amount	Original Exchange Rate	Exchange Rate	e .
ance Tenor Units	Tenor Type	Rate Type	Rate Code	
ance Value Date	Finance Maturity Date			
Finance Profit/UDE Details				
Jser Defined Element ID	UDE Description	Rate Code	Usage	UDE Value
lo data to display.				
Limits				
				D +
Linkage Type	Linkage Reference Number	Linked Percentage		Limit Amount
lo data to display.				



Save & Close Close

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	
Customer Name	Applicant/ Drawee Name.	
	Defaults from the underlying task. User cannot change the value.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Finance Currency-Amount	Finance currency is defaulted from the bill cur- rency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency.	
	System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	
Original Exchange Rate	System displays the Original Exchange rate.	
Exchange Rate	Exchange rate applicable for Local Currency.	
	User can enter the Exchange rate.	
	System validates the exchange rate is within the allowed range.	
Finance Tenor Units	Period of finance.	
	System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Rate Type	System defaults the Rate Type.	

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Rate Code	System defaults the Rate Code.	
Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Finance Maturity Date	Finance maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
Finance Profit/UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simu- lation.	
Usage	System populates the details as part of simula- tion.	
UDE Value	System populates the value as part of simulation.	
Limits		
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Num- ber	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should popu- late the value based on the contribution percent- age.	



3.3.9.7 Profit Details

The user can view and modify the Profit Details Simulated from Back Office system.

ofit Details

Save & Close Close



3.3.9.8

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office.	
	Value can be:	
	Fixed Floating	
	Special	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code.	
	This field have value only if the Rate Type is Floating.	
Max Spread	This field displays the maximum spread applica- ble for the Rate Code.	
	This field have value only if the Rate Type is Floating.	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value.	
	System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code.	
	User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	



Field	Description	Sample Values
Interest Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).	
Settlement Currency	System defaults the currency of the Settlement Account.	
Settlement Branch	System defaults the branch of the Settlement Account.	

3.3.9.9 Preview Message

User can preview the message simulated for correspondence with Remitting Bank.

User can preview the MT999 messages for the applicable MT7XX messages generated by the Back office system in the Preview Message.

eview Message							
Preview - SWIFT	l Message			Preview - Mai	il Advice		
nguage		Message Type		Language		Advice Type	
nglish			-	English			~
eview Message				Preview Message			
							Save & Close Close

3.3.9.10 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Import Documentary Collection Booking.	
	If mandatory fields have not been captured, sys- tem will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will termi- nate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending infor- mation yet to be received from applicant.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

3.3.10 Settlement Details

As part of DE user can verify and enter the basic additional details available in the Islamic Import Collection Booking. In case the request is received through online channel user will verify the details populated.

in Details	Settlement Details							s
ocument Details	Current Event							
ther Details	A Settlement Deta	ils						
nipment Details	Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
aturity Details	BILL_LIQ_AMT	AED	Debit	0912130011	Etisalat	AED	No	No
dditional Fields					AL HILAL BANK			
dvices	BILL_LIQ_AMTEQ	AED	Credit	0913180042		GBP	No	No
dditional Details	IBCOUR_LIQD	AED	Debit	0912130011	Etisalat	AED	No	Yes
ettlement Details	IBSWIFT_LIQD	AED	Debit	0912130011	Etisalat	AED	No	Yes
ummary	IBTAX1_AMT	AED	Debit	0912130011	Etisalat	AED	No	Yes
	IBTAX2_AMT	AED	Debit	0912130011	Etisalat	AED	No	No
	INT1_LIQD	AED	Debit	0912130011	Etisalat	AED	No	No

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	





Field	Description	Sample Values
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

3.3.11 <u>Summary</u>

User can review the summary of details updated in Data Enrichment stage of Islamic Import Collection - Booking request.

As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Details	S	Summary								Screen (10 /
ment Details		Main Details		Document Detail:	s	Other Details		Shipment Details		
Details		Reality Refe	: 2023-08-03	2	: CLAIMDOC	Value Date	: 2023-08-03	Port of Loading	: London	(
ient Details		Booking Date Submission Mode	: Desk	Document 1	: CLAIMDOC	Debit Value Date	: 2023-08-03	Port of Discharge	: Mumbai	
ity Details		Remitter Reference Bill Amount	: 75757 : AED 1000			Credit Value Date	: 2023-08-03	Shipment Date Carrier Name	: 2023-08-03 : ABC Carrier	
ional Fields										
DS .										
ional Details		Maturity Details		Additional Fields		Advices		Limits and Collate	erals	
ment Details		Tenor Type Tenor Basis Maturity Date	: Sight : :	Additional fields	:	Advice 1 Advice 2	:	Contribution Currenc Amount to Earmark Limit Status Collateral Currency Collateral Contr. Collateral Status Deposit Linkage CCY Deposit Linkage Amount	: Not Verified : Not Verified :	
		Commission, Cha	rges and taxes	Preview Message	s	FX Linkage		Finance Preference	æ	1
		Charge Commission Tax Block Status	: : : Not Initiated	Language Preview Message	: ENG : -	Reference Number Linkage Amount Contract Currency	2	Loan Product linkageRefNo Loan Tenor Loan Currency Loan Amount Loan Maturity	2 2 2 2 2	
		Profit Details		Accounting Detai	ls					
		Component Amount	:	Event AccountNumber	:					

Tiles Displayed in Summary

- Main Details User can view details about application details and Bill details.
- Document Details User can view document details.
- Other Details User can view other details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.



- Additional Fields User can view additional fields.
- Advices User can view the advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Preview Messages User can view the preview message.
- FX Linkage User can view the FX Linkage details.
- Finance Preferences User can view the Finance Preference details.
- Payment Details User can view the payment details.
- Party Details User can view party details like applicant, Remitting Bank etc.
- Settlement Details User can view the settlement details.
- Message Preview User can view the preview of the simulating message to the remitting bank.
- Compliance Details User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details User can view the interest details.
- Accounting Details User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message " Value Date is different from Transaction Date for one or more Accounting entries.

3.3.11.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.	
	Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Submit	Task will move to next logical stage of Import Documentary Collection Booking.	
	If mandatory fields have not been captured, sys- tem will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will termi- nate the process after handing off the details to back office.	



Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending infor- mation yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Rea- son from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Descrip- tion. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

3.4 Exceptions

The Import Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.



The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number "to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

3.4.1.1 Amount Bock Exception

This section will display the amount block exception details.

3.4.1.2 Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and Bill details.
- Document Details User can view document details.
- Other Details User can view the other details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view additional fields.
- Advices User can view the advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Preview Messages User can view the preview of the simulating message to the remitting bank.
- FX Linkage User can view the FX Linkage details.
- Finance Preferences User can view the finance preference details.



- Tracer Details User can view the tracer details. •
- Payment Details User can view the payment details. •
- Settlement Details User can view the settlement details. •
- Party Details User can view party details like applicant, Remitting Bank etc. •
- Compliance User can view compliance details. The status must be verified for KYC • and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries generated by back office • system.
- Profit Details User can view the profit details. •

3.4.1.3 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance- Limits	
	R5 - Others	
Cancel	Cancel the Import Collection Booking Amount Block Exception check.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	



Field	Description	Sample Values
Back	Task moves to previous logical step.	

3.4.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

3.4.2.1 Summary

Tiles Displayed in Summary:

- Main Details User can view details about application details and LC details.
- Party Details User can view party details like applicant, advising bank etc.
- Document Details User can view document details.
- Draft Details User can view the draft details.
- Shipment Details User can view shipment details.
- Charges User can view charge details.
- Maturity Details User can view the maturity details.
- Advices User can view the advices.
- Payment Details User can view the payment details.
- FX Linkage User can view the FX Linkage details.
- Settlement Details User can view the settlement details.
- Message Preview User can view the preview of the simulating message to the remitting bank.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details User can view the profit details.



3.4.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance- Limits	
	R5 - Others	
Cancel	Cancel the Import Collection Booking KYC exception check.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.4.3 Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

3.4.3.1 Limit/Credit Check

This section will display the amount block exception details.

3.4.3.2 Summary

Tiles Displayed in Summary:

- Main Details User can view and modify details about application details and LC details, if required.
- Party Details User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment User can view and modify availability and shipment details, if required.
- Payments User can view and modify all details related to payments, if required.
- Documents & Condition User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals User can view and modify limits and collateral details, if required.
- Charges User can view and modify charge details, if required.
- Revolving Details User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages User can view and modify preview details, if required.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details User can view the interest details.



3.4.3.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Rea- son from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance- Limits	
	R5 - Others	
Cancel	Cancel the Import Collection Booking Limit exception check.	
Approve	On approve, application must validate for all man- datory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.5 Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.



3.5.1 Authorization Re-Key

Application will request approver for few field values to validate authorization. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Bill Amount
- Bill Currency Code

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

II View Sign	ature	N Documents
	Ŧ	
Refer	Close	Proceed

3.5.2 Summary

User can view the summary of details updated in multilevel approval stage of Islamic Import Collection Booking.

When the user log in into OBTFPM system, he can see the summary tiles. The tiles should display a list of important fields with values. The user can also drill down from summary Tiles

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into respective data segments where user verifies the details of all fields under the data segment.

Details	Document Details	Other Details	Shipment Details	Maturity Details	Additional Fields
g Date : 2021-05-05 sion Mode : Desk ir Reference : 6676 ount : null null	Document 1 : TRANSDOC Document 2 : TRANSDOC	Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Tenor Type : Usance	Click here to view : Additional fields
es	Limits and Collaterals	Commission, Charges and taxes	Preview Messages	FX Linkage	Finance Preference
1 : 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Reference Number : Contract Amount : Contract Currency :	FinanceProduct : linkageRefNo : FinanceTenor : FinanceCoy : FinanceAmt : FinanceMaturity :
ent Details	Settlement Details	Parties Details	Compliance details	Accounting Details	Profit Details
eByFinance : No Ollover : teusing : ral	Component : Account Number : Currency :	Drawee : FIXNETIX RemittingBank : RBS PLC Drawer : RBS PLC	KYC : Not Verified Sanctions : Verified AML : Verified	Event : Account Number : Branch :	Component : Amount : Event :
tion(Approval)					

Tiles Displayed in Summary:

- Main Details User can view details about application details and Bill details.
- Document Details User can view document details.
- Other Details User can view the other details.
- Shipment Details User can view shipment details.
- Maturity Details User can view the maturity details.
- Additional Fields User can view additional fields.
- Advices User can view the advices.
- Limits and Collaterals User can view limits and collateral details.
- Commission, Charges & Taxes User can view charge details.
- Preview Messages User can view the preview of the simulating message to the remitting bank.
- FX Linkage User can view the FX Linkage details.
- Finance Preference- User can view the finance preference details.
- Payment Details User can view the payment details.
- Settlement Details User can view the settlement details.
- Parties Details User can view party details like applicant, Remitting Bank etc.
- Compliance Details User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Profit Details User can view the interest details.
- Accounting Details User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message " Value Date is different from Transaction Date for one or more Accounting entries.

• Exception (Approval) - User can view the exception (approval) details.

3.5.2.1 Action Buttons

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Descrip- tion.	
	This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending infor- mation yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance- LimitsR5 - Others	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approv- ers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Use action buttons based on the description in the following table:

3.6 <u>Reject Approval</u>

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.



Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can been seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

3.6.1 Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details User can view details about application details and document under • collection.
- Party Details User can view party details like applicant, Remitting Bank etc. •
- Document Details User can view document details. •
- Shipment Details User can view shipment details. •
- Charges User can view charge details. •
- Maturity Details User can view the maturity details. •
- Message Preview User can view the preview of the simulating message to the • remitting bank.

3.6.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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